

# OHIO PUBLIC WORKS COMMISSION

65 East State Street, Suite 312

Columbus, Ohio 43215

(614) 466-0880

CB417

## APPLICATION FOR FINANCIAL ASSISTANCE

Revised 6/90

**IMPORTANT:** Applicant should consult the "Instructions for Completion of Project Application for assistance in the proper completion of this form.

**APPLICANT NAME  
STREET**

Board of Commissioners of Hamilton County

County Administration Building, Room 603

138 East Court Street

**CITY/ZIP**

Cincinnati, Ohio 45202

**PROJECT NAME**

Huntington Place and Malvern Place Sewer Replacement

**PROJECT TYPE**

Sewer Replacement

**TOTAL COST**

\$ 74,231.30

PROJECT  
TERMINATED  
BY  
APPLICANT

01 JUL 31 P 3:15

OFFICE OF THE  
COUNTY ENGINEER

**DISTRICT NUMBER  
COUNTY**

2

Hamilton

**PROJECT LOCATION ZIP CODE**

45219

### DISTRICT FUNDING RECOMMENDATION

To be completed by the District Committee ONLY

**RECOMMENDED AMOUNT OF FUNDING:**

\$ 74,231.00

**FUNDING SOURCE (Check Only One):**

State Issue 2 District Allocation

☐ Grant

☒ Loan

☐ Loan Assistance

State Issue 2 Small Government Fund

State Issue 2 Emergency Funds

Local Transportation Improvement Fund

**FOR OPWC USE ONLY**

OPWC PROJECT NUMBER:

OPWC FUNDING AMOUNT: \$

# 1.0 APPLICANT INFORMATION

**1.1 CHIEF EXECUTIVE  
OFFICER  
TITLE  
STREET**

**CITY/ZIP  
PHONE  
FAX**

Thomas W. Wenz\*  
Hamilton County Administrator  
County Admin. Bldg., Room 603  
138 East Court Street  
Cincinnati, Ohio 45202  
( 513 ) 632 - 8255  
( 513 ) 241 - 7985

\* See Note  
Below

**1.2 CHIEF FINANCIAL  
OFFICER  
TITLE  
STREET**

**CITY/ZIP  
PHONE  
FAX**

Raymond Fern\*  
Director, Budget & Research  
County Admin. Bldg., Room 607  
138 East Court Street  
Cincinnati, Ohio 45202  
( 513 ) 632 - 8726  
( 513 ) 241 - 7985

**1.3 PROJECT MGR  
TITLE  
STREET**

**CITY/ZIP  
PHONE  
FAX**

Anthony T. Huang, P.E.  
Supervising Engineer  
Metropolitan Sewer District  
1600 Gest Street  
Cincinnati, Ohio 45204  
( 513 ) 244 - 1342  
( 513 ) 244 - 1399

**1.4 PROJECT CONTACT  
TITLE  
STREET**

**CITY/ZIP  
PHONE  
FAX**

Ed Kesterman  
Senior Engineer  
Metropolitan Sewer District  
1600 Gest Street  
Cincinnati, Ohio 45204  
( 513 ) 244 - 1343  
( 513 ) 244 - 1399

**1.5 DISTRICT LIAISON  
TITLE  
STREET**

**CITY/ZIP  
PHONE  
FAX**

Bill Brayshaw, P.E.  
Chief Deputy County Engineer  
County Admin. Bldg., Room 700  
138 East Court Street  
Cincinnati, Ohio 45202  
( 513 ) 761 - 1400  
( 513 ) 723 - 9748

\*As of August 1, 1991 above individuals occupying these positions will change. See attached page.

As of August 1, 1991, MR. RAYMOND FERN will be Acting Administrator for Hamilton County (Chief Executive Officer for purposes of the Loan Agreement).

As of August 1, 1991, MS. LOIS M. REYNOLDS will be Acting Budget Director for Hamilton County (Chief Fiscal Officer for purposes of the Loan Agreement).

## 2.0 PROJECT INFORMATION

**IMPORTANT:** If project is multi-jurisdictional in nature, information must be consolidated for completion of this section.

2.1 **PROJECT NAME:** Huntington Place and Malvern Place Sewer Replacement  
Sanitary Sewer No. 3095

2.2 **BRIEF PROJECT DESCRIPTION - (Sections A through D):**

A. **SPECIFIC LOCATION:** In R/W between Huntington Place and Malvern Place from Eleanor Place east 380' +/-

B. **PROJECT COMPONENTS:** Replacement of the deteriorated existing private sewer with a new 12" public sewer including manholes, 6" lateral connections and appurtenances.

C. **PHYSICAL DIMENSIONS/CHARACTERISTICS:**

Construct 370 lin. ft. of 12" sewer and 72 lin. ft. of 6" sewer.

D. **DESIGN SERVICE CAPACITY:**

**IMPORTANT:** Detail shall be included regarding current service capacity vs proposed service level. If road or bridge project, include ADT. If water or wastewater project, include current residential rates based on monthly usage of 7,756 gallons per household.

11 residences and a 44 unit apartment complex.

$$\begin{array}{r} 7,756 \\ \times \quad 55 \\ \hline 426,580 \text{ gallons/month} \end{array}$$

2.3 **REQUIRED SUPPORTING DOCUMENTATION**

(Photographs/Additional Description; Capital Improvements Report; Priority List; 5-year Plan; 2-year Maintenance of Effort report, etc.) Also discuss the number of temporary and/or fulltime jobs which are likely to be created as a result of this project. Attach Pages. Refer to accompanying Instructions for further detail.

### 3.0 PROJECT FINANCIAL INFORMATION

#### 3.1 PROJECT ESTIMATED COSTS (Round to Nearest Dollar):

a)	Project Engineering Costs:	
	1. Preliminary Engineering	\$ _____
	2. Final Design	\$ _____
	3. Construction Supervision	\$ _____
b)	Acquisition Expenses	
	1. Land	\$ -0-
	2. Right-of-Way	\$ -0-
c)	Construction Costs	\$ 67,483.00
d)	Equipment Costs	\$ _____
e)	Other Direct Expenses	\$ _____
f)	Contingencies	\$ 6,748.30
g)	<b>TOTAL ESTIMATED COSTS</b>	<b>\$ 74,231.30*</b>

\*Applicable to Loan

#### 3.2 PROJECT FINANCIAL RESOURCES (Round to Nearest Dollar and Percent)

	Dollars	%
a) Local In-Kind Contributions *	\$ _____	_____
b) Local Public Revenues	\$ **	**
c) Local Private Revenues	\$ **	**
d) Other Public Revenues		
	\$ _____	_____
1. ODOT	\$ _____	_____
2. FMHA	\$ _____	_____
3. OEPA	\$ _____	_____
4. OWDA	\$ _____	_____
5. CDBG	\$ _____	_____
6. Other _____	\$ _____	_____
e) OPWC Funds		
1. Grant	\$ _____	_____
2. Loan	\$ 74,231.30	100
3. Loan Assistance	\$ _____	_____
f) <b>TOTAL FINANCIAL RESOURCES</b>	<b>\$ 74,231.30</b>	<b>100</b>

\*\*Assessment Project

\* If the required local match is to be 100% In-Kind Contributions, list source of funds to be used for retainage purposes:

#### 3.3 AVAILABILITY OF LOCAL FUNDS

Indicate the status of all local share funding sources listed in section 3.2(a) through 3.4(c). In addition, if funds are coming from sources listed in section 3.2(d), the following information must be attached to this project application:

- 1) The date funds are available;
- 2) Verification of funds in the form of an agency approval letter or agency project number. Please include the name and number of the agency contact person.

### 3.4 PREPAID ITEMS

#### Definitions:

<b>Cost -</b>	Total Cost of the Prepaid Item.
<b>Cost Item -</b>	Non-construction costs, including preliminary engineering, final design, acquisition expenses (land or right-of-way).
<b>Prepaid -</b>	Cost items (non-construction costs directly related to the project) paid prior to receipt of fully executed Project Agreement from OPWC.
<b>Resource Category -</b>	Source of funds (see section 3.2).
<b>Verification -</b>	Invoice(s) and copies of warrant(s) used to for prepaid costs accompanied by Project Manager's Certification (see section 1.4)

**IMPORTANT:** Verification of all prepaid items shall be attached to this project application

	<u>COST ITEM</u>	<u>RESOURCE CATEGORY</u>	<u>COST</u>
1)	_____	_____	\$ _____
2)	_____	_____	\$ _____
3)	_____	_____	\$ _____
TOTAL OF PREPAID ITEMS			\$ _____

### 3.5 REPAIR/REPLACEMENT or NEW/EXPANSION

This section need only be completed if the Project is to be funded by SI2 funds:

<b>TOTAL PORTION OF PROJECT REPAIR/REPLACEMENT</b>	\$ 74,231.00	100 %
State Issue 2 Funds for Repair/Replacement (Not to Exceed 90%)	\$ 74,231.00	100*
*SI2 Loan Application		
<b>TOTAL PORTION OF PROJECT NEW/EXPANSION</b>	\$ _____	_____ %
State Issue 2 Funds for New/Expansion (Not to Exceed 50%)	\$ _____	_____

### 4.0 PROJECT SCHEDULE

	ESTIMATED START DATE	ESTIMATED COMPLETE DATE
4.1 ENGR. DESIGN	11 / 28 / 88	9 / 13 / 89
4.2 BID PROCESS	5 / 1 / 92	6 / 1 / 92
4.3 CONSTRUCTION	6 / 30 / 92	9 / 30 / 92

## 5.0 APPLICANT CERTIFICATION

### The Applicant Certifies That:

As the official representative of the Applicant, the undersigned certifies that: (1) he/she is legally empowered to represent the applicant in both requesting and accepting financial assistance as provided under Chapter 164 of the Ohio Revised Code and 164-1 of the Ohio Administrative Code; (2) that to the best of his/her knowledge and belief, all representations that are a part of this application are true and correct; (3) that all official documents and commitments of the applicant that are a part of this application have been duly authorized by the governing body of the Applicant; (4) and, should the requested financial assistance be provided, that in the execution of this project, the Applicant will comply with all assurances required by Ohio law, including those involving minority business utilization, Buy Ohio, and prevailing wages.

**IMPORTANT:** Applicant certifies that physical construction on the project as defined in this application has not begun, and will not begin, until a Project Agreement on this project has been issued by the Ohio Public Works Commission. Action to the contrary is evidence that OPWC funds are not necessary to complete this project.

**IMPORTANT:** In the event of a project cost underrun, applicant understands that the identified local match share (sections 3.2(a) through 3.2(c)) will be paid in full toward completion of this project. Unneeded OPWC funds will be returned to the funding source from which the project was financed.

Thomas W. Wenz, County Administrator

Certifying Representative (Type Name and Title)

Thomas W. Wenz 7-31-91  
Signature/Date Signed

Applicant shall check each of the statements below, confirming that all required information is included in this application:

<u>X</u>	A five-year Capital Improvements Report as required in 164-1-31 of the Ohio Administrative Code and a <u>two-year Maintenance of Local Effort Report</u> as required in 164-1-12 of the Ohio Administrative Code.
<u>X</u>	A registered professional engineer's estimate of useful life as required in 164-1-13 of the Ohio Administrative Code. Estimate shall contain engineer's <u>original seal and signature</u> .
<u>X</u>	A registered professional engineer's estimate of cost as required in 164-1-14 and 164-1-16 of the Ohio Administrative Code. Estimate shall contain engineer's <u>original seal and signature</u> .
<u>X</u>	A certified copy of the legislation by the governing body of the applicant authorizing a designated official to submit this application and to execute contracts.
<u>X</u> YES <u>X</u> N/A	A copy of the cooperation agreement(s) (for projects involving more than one subdivision or district).
<u>X</u> YES <u>X</u> N/A	Copies of all invoices and warrants for those items identified as "pre-paid" in section 4.4 of this application.

## 6.0 DISTRICT COMMITTEE CERTIFICATION

The District Integrating Committee for District Number 2 Certifies That:

As the official representative of the District Public Works Integrating Committee, the undersigned hereby certifies: that this application for financial assistance as provided under Chapter 164 of the Ohio Revised Code has been duly selected by the appropriate body of the District Public Works Integrating Committee; that the project's selection was based entirely on an objective, District-oriented set of project evaluation criteria and selection methodology that are fully reflective of and in conformance with Ohio Revised Code Sections 164.05, 164.06, and 164.14, and Chapter 164-1 of the Ohio Administrative Code; and that the amount of financial assistance hereby recommended has been prudently derived in consideration of all other financial resources available to the project. As evidence of the District's due consideration of required project evaluation criteria, the results of this project's ratings under such criteria are attached to this application.

Donald C. Schramm, Chairperson District 2 Integrating Committee  
Certifying Representative (Type Name and Title)

Donald C. Schramm 9/24/91  
Signature/Date Signed



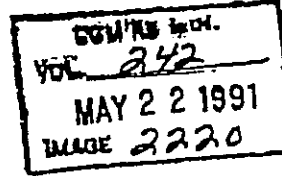
# City of Cincinnati



Gerald E. Newfarmer  
City Manager

Office of the City Manager

May 22, 1991



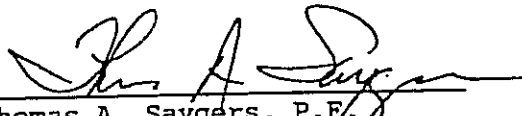
Room 152, City Hall  
801 Plum Street  
Cincinnati, Ohio 45202  
Phone (513) 352-3241

Honorable Board of County Commissioners  
Hamilton County, Ohio  
% Mr. Thomas W. Wenz  
Hamilton County Administrator  
County Administration Building, Room 603  
138 East Court Street  
Cincinnati, Ohio 45202

22  
Subject: Metropolitan Sewer District  
Proposed Capital Improvement Program for 1991  
and Extended Capital Plan for Years 1992 through 1995

Enclosed for your consideration and approval is a resolution approving the Capital Improvement Program for the Metropolitan Sewer District of Greater Cincinnati for 1991. On May 8, 1991, the public hearing was held where all persons desiring to ask questions were afforded the opportunity.

Recommended:

  
Thomas A. Saygers, P.E.  
Director, M.S.D.

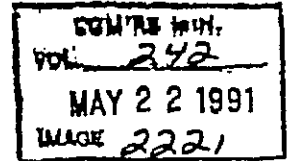
Approved:

  
Gerald E. Newfarmer  
City Manager

On motion of Mr. Dowlin, the following resolution was adopted.

*Handwritten notes:*  
TWW  
OK  
BLS  
5/20/91

The Board of County Commissioners of Hamilton County, Ohio met in regular session on the 22nd day of May, 1991, with the following members present:  
Sandra S. Beckwith, Steven J. Chabot, John S. Dowlin



RESOLUTION

APPROVING CAPITAL IMPROVEMENT PROGRAM FOR THE  
METROPOLITAN SEWER DISTRICT OF GREATER CINCINNATI  
FOR THE CALENDAR YEAR 1991

WHEREAS, this Board did, on May 1, 1968, enter into a contract with the City of Cincinnati for the management and operation of the Metropolitan Sewer District of Greater Cincinnati, a County Sewer District organized in accordance with Chapter 6117 of the Ohio Revised Code; and

WHEREAS, said contract provided that the City of Cincinnati would prepare annually a Capital Improvement Program for the year next ensuing and submit said program to the Board of County Commissioners and that the Board of County Commissioners would hold a public hearing thereon; and

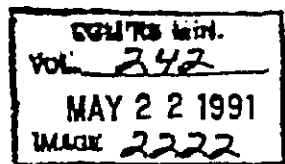
WHEREAS, this Board did, after legal advertisement, conduct a public hearing on the proposed Capital Improvement Program for the Metropolitan Sewer District of Greater Cincinnati for the year beginning January 1, 1991, on Wednesday, May 8, 1991 at which hearing all persons desiring to ask questions and make statements were afforded such opportunity;

NOW, THEREFORE, BE IT RESOLVED by the Board of County Commissioners of Hamilton County, Ohio that the Capital Improvement Program of the Metropolitan Sewer District of Greater Cincinnati for the year beginning January 1, 1991 in the amount of \$14,942,000 (\$4,180,000 in additional design stage costs and \$10,762,000 in additional miscellaneous category project costs) be and hereby is approved; and

BE IT FURTHER RESOLVED by the Board of County Commissioners of Hamilton County, Ohio, that the Director of the Metropolitan Sewer District of Greater Cincinnati be, and he is hereby authorized and directed to prepare and submit forthwith, for the consideration and approval of this Board, detailed plans, specifications and estimate of cost, for any and all projects included on the Capital Improvement Program of the District for the year 1991; and

BE IT FURTHER RESOLVED, that the Clerk of this Board be and she hereby is directed to certify copies of this resolution to the Council of the City of Cincinnati and the Director of the Metropolitan Sewer District of Greater Cincinnati; and

BE IT FURTHER RESOLVED, that this Board of County Commissioners hereby finds and determines that all formal actions relative to the adoption of this Resolution were taken in an open meeting of the Board of County Commissioners and that all deliberations of this Board of County Commissioners and of its committees, if any, which resulted in formal action were taken in meetings open to the public, in full compliance with applicable legal requirements, including Section 121.22 of the Ohio Revised Code.



ADOPTED at a regularly adjourned meeting of the Board of County Commissioners of Hamilton County, Ohio, this 22nd day of May, 1991.

Ms. Beckwith AYE

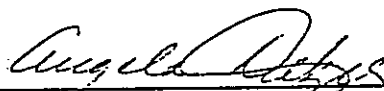
Mr. Chabot AYE

Mr. Dowlin AYE

CERTIFICATE OF CLERK

IT IS HEREBY CERTIFIED that the foregoing is a true and correct transcript of a resolution adopted by the Board of County Commissioners in session the 22nd day of May, 1991.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the Official Seal of the Office of the Board of County Commissioners of Hamilton County, Ohio, this 22nd day of May, 1991.

  
\_\_\_\_\_  
Angela Detzel, Clerk  
Board of County Commissioners  
Hamilton County, Ohio

VOL. 243  
AUG 7 1991  
PAGE 1812

The Board of County Commissioners of Hamilton County, Ohio met in regular session on the 7th day of August, 1991, with the following members present: Sandra S. Beckwith  
John S. Dowlin

RESOLUTION

AUTHORIZING THE COUNTY ADMINISTRATOR TO APPLY FOR  
ISSUE #2 EMERGENCY FUNDS TO ASSIST IN FINANCING THE  
CONSTRUCTION OF VARIOUS SANITARY SEWER PROJECTS  
IN THE METROPOLITAN SEWER DISTRICT OF GREATER CINCINNATI,  
HAMILTON COUNTY, OHIO

WHEREAS, it is the intention of the Board of County Commissioners to construct various sanitary sewer projects within the Metropolitan Sewer District of Greater Cincinnati, and

WHEREAS, to make the construction of said sanitary sewer projects economically feasible it may be advantageous to apply to the District Integrating Committee (District No. 2) for Issue #2 Emergency Funds, and

WHEREAS, a single Resolution by this Board will suffice to authorize the Hamilton County Administrator to make any application and execute any contract, deemed economically advantageous by the County.

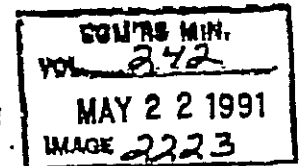
NOW, THEREFORE, BE IT RESOLVED by the Board of County Commissioners of Hamilton County, Ohio, that the Hamilton County Administrator be and he hereby is authorized to make application to the District Integrating Committee (District No. 2) for Issue #2 Emergency Funds to assist in financing the construction of various sanitary sewer projects in the Metropolitan Sewer District of Greater Cincinnati.

BE IT FURTHER RESOLVED, that this Board of County Commissioners hereby finds and determines that all formal actions relative to the adoption of this Resolution were taken in an open meeting of the Board of County Commissioners and that all deliberations of this Board of County Commissioners and of its committees, if any, which resulted in formal action were taken in meetings open to the public, in full compliance with applicable legal requirements, including Section 121.22 of the Ohio Revised Code.

ADOPTED at a regularly adjourned meeting of the Board of County Commissioners of Hamilton County, Ohio, this 7th day of August, 1991.

Ms. Beckwith AYE Mr. Chabot ABSENT ON LEAVE Mr. Dowlin AYE

THE METROPOLITAN SEWER DISTRICT OF GREATER CINCINNATI  
ONE YEAR PROGRAM AND FIVE YEAR CAPITAL IMPROVEMENT PLAN



The Proposed Capital Improvement Program and Plan for 1991 through 1995 totals \$266,563,000.00 of known costs.

The total program is expected to be financed from the sewer service charge fund through short term revenue bond anticipation notes, and/or long term revenue bonds.

Only the 1991 Capital Improvement Program in the amount of \$14,942,000.00 requires formal approval.

PROPOSED CERTIFICATION PLAN

ALL FIGURES ARE IN THOUSANDS OF DOLLARS

COPIES SENT  
VOL 342  
MAY 22 1991  
IMAGE 2224

**14101945 33M45 PAT&JOJANICU**

PROPOSED CERTIFICATION PLAN

**All Figures are in Thousands of Dollars)**

(All Figures are in Thousands of Dollars)														
p No.	Project Description	Cost Range	Time Frame	Original Cost Estimate	1991 Known	Prior Approvals	To Be Approved	TOTAL SPENT OR ENCUMBERED 1/31/91	ANTICIPATED CERTIFICATIONS				BEFOND 1995	
									1991	1992	1993	1994		
Clean Water Act														
1-14	Sanitary Sewer Overflow Elim.	3.5K-6.5K	1991-92	1344	1304	1304	1344	10	14,130	14,130	14,130	14,130	14,130	14,130
1-14	Sanitary Sewer Overflow Elim.	3.5K-6.5K	1991-92	1344	1304	1304	1344	10	14,130	14,130	14,130	14,130	14,130	14,130
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1-14	Sanitary Sewer Overflow Elim.	3.5K-6.5K	1991-92	1344	1304	1304	1344	10	14,130	14,130	14,130	14,130	14,130	14,130
1-14	Sanitary Sewer Overflow Elim.	3.5K-6.5K	1991-92	1344	1304	1304	1344	10	14,130	14,130	14,130	14,130	14,130	14,130
1-14	Sanitary Sewer Overflow Elim.	3.5K-6.5K	1991-92	1344	1304	1304	1344	10	14,130	14,130	14,130	14,130	14,130	14,130
1-14	Sanitary Sewer Overflow Elim.	3.5K-6.5K	1991-92	1344	1304	1304	1344	10	14,130	14,130	14,130	14,130	14,130	14,130
1-14	Sanitary Sewer Overflow Elim.	3.5K-6.5K	1991-92	1344	1304	1304	1344	10	14,130	14,130	14,130	14,130	14,130	14,130
1-14	Sanitary Sewer Overflow Elim.	3.5K-6.5K	1991-92	1344	1304	1304	1344	10	14,130	14,130	14,130	14,130	14,130	14,130
1-14	Sanitary Sewer Overflow Elim.	3.5K-6.5K	1991-92	1344	1304	1304	1344	10	14,130	14,130	14,130	14,130	14,130	14,130
1-14	Sanitary Sewer Overflow Elim.	3.5K-6.5K	1991-92	1344	1304	1304	1344	10	14,130	14,130	14,130	14,130	14,130	14,130
1-14	Sanitary Sewer Overflow Elim.	3.5K-6.5K	1991-92	1344	1304	1304	1344	10	14,130	14,130	14,130	14,130	14,130	14,130
1-14	Sanitary Sewer Overflow Elim.	3.5K-6.5K	1991-92	1344	1304	1304	1344	10	14,130	14,130	14,130	14,130	14,130	14,130
1-14	Sanitary Sewer Overflow Elim.	3.5K-6.5K	1991-92	1344	1304	1304	1344	10	14,130	14,130	14,130	14,130	14,130	14,130
1-14	Sanitary Sewer Overflow Elim.	3.5K-6.5K	1991-92	1344	1304	1304	1344	10	14,130	14,130	14,130	14,130	14,130	14,130
1-14	Sanitary Sewer Overflow Elim.	3.5K-6.5K	1991-92	1344	1304	1304	1344	10	14,130	14,130	14,130	14,130	14,130	14,130
1-14	Sanitary Sewer Overflow Elim.	3.5K-6.5K	1991-92	1344	1304	1304	1344	10	14,130	14,130	14,130	14,130	14,130	14,130
1-14	Sanitary Sewer Overflow Elim.	3.5K-6.5K	1991-92	1344	1304	1304	1344	10	14,130	14,130	14,130	14,130	14,130	14,130
1-14	Sanitary Sewer Overflow Elim.	3.5K-6.5K	1991-92	1344	1304	1304	1344	10	14,130	14,130	14,130	14,130	14,130	14,130
1-14	Sanitary Sewer Overflow Elim.	3.5K-6.5K	1991-92	1344	1304	1304	1344	10	14,130	14,130	14,130	14,130	14,130	14,130
1-14	Sanitary Sewer Overflow Elim.	3.5K-6.5K	1991-92											





## PROPOSED CERTIFICATION PLAN

### MAIY FLOURS ARE IN THOUSANDS OF DOLLARS

CLIP No.	Project Description	Cost Range	Time Frame	Original Cost Estimate	1991 Known Estimate	Prior Approvals	To Be Approved	TOTAL SPENT OR ENCUMBERED 1/31/91	ANTICIPATED CERTIFICATIONS					BEYOND 1995
									1991	1992	1993	1994	1995	
<b>Deteriorated Sewers</b>														
89-03	SEWER 5338-A REHABILITATION	2.789M-3.899M	COMPLETED 1990	1989	10	1989	10	10	10	10	10	10	10	10
90-15	SHOTCRETE SEWER REHABILITATION-VAR. LOCUS.	2.789M-3.899M	1990-92	13,000	13,000	12,843	1157	10	12,846	12,846	10	10	10	10
90-16	CURED-IN-PLACE SEWER REHABILITATIONS	3.254M-4.549M	1990-92	13,358	13,358	13,358	10	12,238	1948	1948	10	10	10	10
<b>Deteriorated Sewers Subtotal</b>				17,409	16,358	17,196	1157	12,238	13,794	13,794	10	10	10	10
<b>Clean Water Act</b>														
00-45	SYCAMORE WUPP-STG. 1, 2, 3 ICM	N/A	1990-91	110,538	113,169	113,110	10	111,322	11,136	11,136	10	10	10	10
00-58	HILL CREEK WUPP CORRECTION PROGRAM	N/A	1990-94	1116,934	1129,296	1129,296	10	1113,866	14,429	14,429	10	10	10	10
00-61-4	WATSIDE HILLS WUPP ELIMINATION	N/A	COMPLETED 1990	1392	1412	1412	10	10	10	10	10	10	10	10
00-61-5	SHADY LE. T.P. AND L.S. MODIFICATIONS	N/A	COMPLETED 1990	1680	10	1715	10	10	10	10	10	10	10	10
85-58-3	MC BASIN CSD REC. MAINT. FAC.	485,462-678.6K	1990-91	1322	1322	1322	10	1440	147	147	10	10	10	10
93-58-4	MC BASIN SV ANALYSIS SAFETY PROGRAM	372K-520K	1991-93	1400	1389	1400	10	1389	10	10	10	10	10	10
87-21	TAYLOR CREEK 5.3MGD WUPP	10,041M-15.15M	1990-92	115,693	111,725	115,693	10	18,672	12,010	12,010	10	10	10	10
<b>Clean Water Act Subtotal</b>				1145,159	1155,041	1160,148	10	1134,697	17,822	11,183	10	10	10	10
<b>Special Category Subtotal</b>														
89-09	LITTLE MIAMI WUPP WOPS -CONTRACT 11	4.737M-4.649M	1990-93	11,412	10,109	12,002	1107	11,055	1153	1153	10	10	10	10
87-14	LABORATORY MODIFICATIONS-DEPARTMENT WIDE	N/A	1990-91	1150	1150	1150	10	185	185	185	10	10	10	10
89-07	MI CR WUPP-WEIRS & GATE AUTOMATION	600K-839.6K	1990-92	15,422	15,423	15,423	10	11,531	13,509	13,509	10	10	10	10
89-09	MI CR WUPP-ROAD AND CONCRETE WORK	336.4K-498.2K	1990-92	1384	1384	1384	10	12	1547	1547	10	10	10	10
89-13	TRANSITION LABORATORY FACILITY	334.7K-487.8K	1990-91	1384	1384	1384	10	1307	166	166	10	10	10	10
90-12	UNION CEMETERY ROAD SEWER	N/A	1991	118	1360	118	1342	16	1333	1333	10	10	10	10
<b>Special Category Subtotal</b>				18,150	19,190	19,978	1449	13,806	14,755	14,600	10	10	10	10
<b>Subtotal - Under-Construction Stage</b>				1182,725	1178,908	1179,825	1607	1142,319	116,954	110,160	10	10	10	10
<b>MISCELLANEOUS STAGE</b>														
00-05	Pre Agreement by Commissioners MILL CREEK CONSERVANCY WORK		1990-95	13,265	13,300	13,300	10	1979	12,301	1500	1500	1500	1500	1501
<b>Pre Agreement-by Commissioners Subtotal</b>				13,265	13,300	13,300	10	1999	12,301	1500	1500	1500	1500	1501
<b>Deteriorated Sewers</b>														
91-15	SHOTCRETE VARIOUS LOCATIONS	2.011M-3.733M	1991-93	12,873	12,873	10	12,073	10	12,724	12,724	10	10	10	10
91-16	CURED IN PLACE PIPE VARIOUS LOCATIONS	2.783M-3.024M	1991-93	13,865	13,865	10	13,865	10	13,468	13,468	10	10	10	10
<b>Deteriorated Sewers Subtotal</b>				16,738	16,738	10	16,738	10	16,394	16,394	10	10	10	10
<b>Special Category</b>														
88-06	MEYERS PROPERTY STABILIZATION/DEMOLITION		1990-93	1250	11,040	1250	1810	190	1913	1913	10	10	10	10
89-04	CINCINNATI GEOGRAPHICAL INFORMATION SYS		1990-94	12,822	12,838	12,822	134	12,822	133	133	10	10	10	10
89-14	AMBERLEY VILLAGE FLOW STUDY EQUIPMENT		COMPLETED 1990	1201	10	1281	10	1281	10	10	10	10	10	10
90-39	MISC. EMERGENCY SEWER REPAIR WORK		1990-1991	N/A	11,043	12,700	10	11,637	11,043	11,043	10	10	10	10
90-40	MISC. HWY. AND C.D. SEWER WORK		1990-1991	N/A	193	1100	10	15	193	193	10	10	10	10
91-13	LANSON OK TRUCKING PROPERTY		1991	1378	1378	10	1378	10	1371	1371	10	10	10	10
91-59	MISC. EMERGENCY SEWER REPAIR WORK		1991-96	N/A	12,700	10	12,700	10	12,700	12,700	10	10	10	10
91-60	MISC. HWY. AND C.D. SEWER WORK		1991-96	N/A	1100	10	1100	10	1100	1100	10	10	10	10
97-14	FACILITIES MASTER PLAN		1991	14,006	14,000	14,000	10	13,488	1512	1512	10	10	10	10
<b>Special Category Subtotal</b>				17,731	112,234	110,133	14,024	16,343	15,767	15,767	10	10	10	10

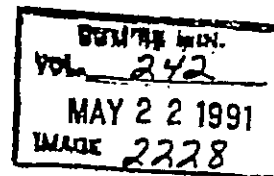
PROPOSED CERTIFICATION PLAN

(ALL FIGURES ARE IN THOUSANDS OF DOLLARS)

**PHOTOS TO CIP DOCUMENT:**

**AL-1001** Please Enclose Item the Total Spent or Encumbered Against W(1) and show the amount to be certified. This occurs for two reasons:

2.2.3 In general, the 1991 Known Estimate less the Total Spent or Encumbered Amounts will not equal the AMOUNT a) In the DESIGN STAGE, 1991 Known Costs are for Design Only, whereas the AMOUNT TO BE CERTIFIED equals Total Project Costs less amounts Spent or Encumbered less Interest costs during construction. b) In other STAGES, AMOUNT TO BE CERTIFIED is also calculated as outlined above.



MAINTENANCE OF LOCAL EFFORT

METROPOLITAN SEWER DISTRICT OF GREATER CINCINNATI

1988 THROUGH 1990

(All figures are in Thousands)

CIP No.	PROJECT DESCRIPTION	YEAR COMPLETED	COST
87-05	Canal Rd. Sewer (Montgomery Ward Parkway)	1989	\$ 626
83-13	Pleasant Run Interceptor, Phase I-A	1989	1,480
87-12	Miami Ave. Replacement Sewer	1989	44
00-45-2	Sycamore Sewer System Rehabilitation	1989	1,250
00-61-3	Stonegate Lift Station Elimination	1989	144
83-15	Polk Run WWTP & L.S. Modifications	1989	11,778
84-05	Reading Rd. Relief Sewer, Parts 1 & 2	1989	756
84-06	Devotie Ave. Sewer, Parts 1 & 2	1989	332
00-61-5	Shady Ln. T.P. & L.S. Modifications	1990	715
00-61-6	Mayflower WWTP Upgrade	1989	527
00-61-4	Wayside Hills WWTP Elimination	1990	392
00-66	L/F Incinerator Closure	1989	816
85-09	Highpoint Local Sewers, Phase I	1988	2,181
86-98	Miscellaneous Real Estate Acquisitions	1988	1,175
88-03	Pippin Road Sewer	1989	263
88-05	Purchase of Treatment Plant Property	1989	453
89-05	Rehab. of S#538-A over Winton Lake	1990	656
			\$ 23,588

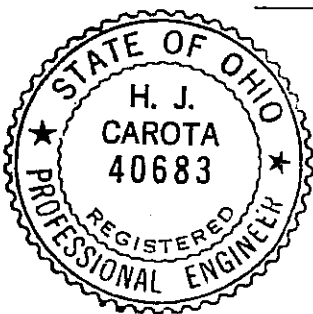
ESTIMATE OF COST  
HUNTINGTON PL. AND MALVERN PL.  
SEWER REPLACEMENT  
S.S. #3095

REF. NO.	SPEC. NO.	DESCRIPTION	UNIT	UNIT COST	TOTAL COST
1	201	Clearing and Grubbing	L.S.	L.S.	\$ 1,000.00
2	202	Seal & Abandon Existing Sewers (12" and smaller)	L.S.	L.S.	800.00
3	204	Special Excavation	5 C.Y.	\$ 38.00	190.00
4	205	Special Fill Material (Bank Run Gravel)	5 Ton	14.00	70.00
5	205	Special Fill Material (No. 3 Gravel Bedding)	5 Ton	15.00	75.00
6	602	Concrete Masonry, Cl. "C"	1 C.Y.	534.00	534.00
7	602	Concrete Masonry, Cl. "C" (Encasements, Cradles, Key Blocks)	1 C.Y.	189.00	189.00
9	603	12" Conduit, Type "B" Concrete with 706.11 Jnts. Clay with 706.12 Jnts. PVC SDR 35 PVC Composite Pipe ABS Composite Pipe	130 L.F.	158.00	20,540.00
9	603	12" Conduit, Type "C" Concrete with 706.11 Jnts. Clay with 706.12 Jnts. PVC SDR 35 PVC Composite Pipe ABS Composite Pipe	240 L.F.	97.00	23,280.00
10	603	6" Conduit, Type "I" Clay PVC ABS	72 L.F.	49.00	3,528.00
11	603	6" T-Branches on 12" Conduit	12 Each	90.00	1,080.00
12	603	6" Bends	24 Each	48.00	1,152.00
13	604	Standard Type "S" Manholes	2 Each	2,800.00	5,600.00
14	604	Standard Type "S" Drop Manholes	1 Each	4,450.00	4,450.00
15	626	Sheeting and Bracing (Ordered Left in Place)	1 MFBM	1,320.00	1,320.00
16	Spec.	Raze Existing Garages	L.S.	L.S.	\$ 3,000.00
17	Spec.	Performance Bond	L.S.	L.S.	675.00

ESTIMATED CONSTRUCTION COST \$ 67,483.00

Engineering, Inspection & Testing	5,860.00
Right-of-Way (Administration Costs)	5,000.00
Design & Test Boring	5,000.00
Wastewater Collection Repair Costs	14,600.00

ESTIMATED TOTAL \$ 97,943.00



The Estimate above is based on a design with an estimated useful life of fifty (50) years.

*Henry Carota*

Henry Carota, P.E., Sewers Chief Engineer  
City of Cincinnati, Department of Sewers

## ADDITIONAL SUPPORT INFORMATION

For 1992, jurisdictions shall complete the State application form for Issue 2, Small Government, or Local Transportation Improvement Program (LTIP) funding. In addition, the District 2 Integrating Committee requests the following information to determine which projects are funded. Information provided on both forms should be accurate, based on reliable engineering principles. Do NOT request a specific type of funding desired, as this is decided by the District Integrating Committee.

1. Of the total infrastructure within the jurisdiction which is similar to the infrastructure of this project, what percentage can be classified as being in poor condition, adequacy and/or serviceability? Accurate support information, such as pavement management inventories or bridge condition summaries, should be provided to substantiate the stated percentage.

Typical examples are:

Road percentage=  $\frac{\text{Miles of road that are in poor condition}}{\text{Total miles of road within jurisdiction}}$

Storm percentage=  $\frac{\text{Miles of storm sewers that are in poor condition}}{\text{Total miles of storm sewers within jurisdiction}}$

Bridge percentage=  $\frac{\text{Number of bridges that are in poor condition}}{\text{Number of bridges within jurisdiction}}$

50%

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2. What is the condition of the existing infrastructure to be replaced, repaired, or expanded? For bridges, base condition on latest general appraisal and condition rating.

Closed	_____	Poor	<u>  X  </u>
Fair	_____	Good	_____

Give a brief statement of the nature of the deficiency of the present facility such as: inadequate load capacity (bridge); surface type and width; number of lanes; structural condition; substandard design elements such as berm width, grades, curves, sight distances, drainage structures, or inadequate service capacity. If known, give the approximate age of the infrastructure to be replaced, repaired, or expanded.

Very old failing private sewer is structurally unsound and has substandard design characteristics. Temporary patching is being utilized until a new public sewer can be provided in this lower income area.

3. If State Issue 2 funds are awarded, how soon (in weeks or months) after completion of the agreement with OPWC would the opening of bids occur? The Integrating Committee will be reviewing schedules submitted for previous projects to help judge the accuracy of a particular jurisdiction's anticipated schedule.

Please indicate the current status of the project development by circling the appropriate answers below. PROVIDE ACCURATE ESTIMATE.

- a) Has the Consultant been selected?..... Yes No N/A  
b) Preliminary development or engineering completed? Yes No N/A  
c) Detailed construction plans completed?..... Yes No N/A  
d) All right-of-way acquired?..... Yes No N/A  
e) Utility coordination completed?..... Yes No N/A

Give estimate of time, in weeks or months, to complete any item above not yet completed.

d) three months

4. How will the proposed infrastructure activity impact the general health, welfare, and safety of the service area? (Typical examples include the effects of the completed project on accident rates, emergency response time, fire protection, health hazards, user benefits, and commerce.)

Eliminate potential health and safety hazards.

5. For any project involving GRANTS, the local jurisdiction must provide a MINIMUM OF 10% of the anticipated construction cost. Additionally, the local jurisdiction must pay 100% of the costs of preliminary engineering, inspection, and right-of-way. If a project is to be funded under Issue 2 or Small Government, the costs of any betterment/expansion are 100% local. Local matching funds must either be currently on deposit with the jurisdiction, or certified as having been approved or encumbered by an outside agency (MRF, CDBG, etc.). Proposed funding must be shown on the Project Application under Section 3.2, "Project Financial Resources". For a project involving LOANS or CREDIT ENHANCEMENTS, 100% of construction costs are eligible for funding, with no local match required.

What matching funds are to be used for this project? (i.e. Federal, State, MRF, Local, etc.)

To what extent are matching funds to be utilized, expressed as a percentage of anticipated CONSTRUCTION costs?

6. Has any formal action by a federal, state, or local government agency resulted in a complete ban or partial ban of the use or expansion of use for the involved infrastructure? (Typical examples include weight limits, truck restrictions, and moratoriums or limitations on issuance of new building permits.) **THE BAN MUST HAVE AN ENGINEERING JUSTIFICATION TO BE CONSIDERED VALID.**

COMPLETE BAN   X  

PARTIAL BAN       

NO BAN       

Will the ban be removed after the project is completed? YES        NO       

Document with specific information explaining what type of ban currently exists and what agency that imposed the ban.

O.E.P.A. and the local Board of Health has banned the continued use of this private sewer due to its condition. Both agencies have determined that a new sewer is required.

7. What is the total number of existing users that will benefit as a result of the proposed project? Use specific criteria such as households, traffic counts, ridership figures for public transit, daily users, etc., and equate to an equal measurement of users:

For roads and bridges, multiply current documented Average Daily Traffic by 1.2 occupants per car (I.T.E. estimated conversion factor) to determine users per day. Ridership figures for public transit must be documented. Where the facility currently has any restrictions or is partially closed, use documented traffic counts prior to restriction. For storm sewers, sanitary sewers, water lines, and other related facilities, multiply the number of households in the service area by four (4) to determine the approximate number of users per day.

8. The Ohio Public Works Commission requires that all jurisdictions applying for project funding develop a five year overall Capital Improvement Plan that shall be updated annually. The Plan is to include an inventory and condition survey of existing capital improvements, and a list detailing a schedule for capital improvements and/or maintenance. Both Five-Year Overall and Five-Year Issue 2 Capital Improvement Plans are required.

Copies of these Plans are to be submitted to the District Integrating Committee at the same time the Project Application is submitted.

9. Is the infrastructure to be improved part of a facility that has regional significance? (Consider the number of jurisdictions served, size of service area, trip lengths, functional classification, and length of route.) Provide supporting information.

Strictly a local sewer improvement.

OHIO INFRASTRUCTURE BOND PROGRAM (ISSUE 2)  
LOCAL TRANSPORTATION IMPROVEMENT PROGRAM (LTIP)

DISTRICT 2 - HAMILTON COUNTY

1992 PROJECT SELECTION CRITERIA

JURISDICTION/AGENCY: Board of Commissioners of Hamilton Co.

PROJECT IDENTIFICATION:

Huntington Place and Mulvern Place Sewer Replacement

PROPOSED FUNDING:

ELIGIBLE CATEGORY:

POINTS

5

- 1) Type of project

10 Points - Bridge, road, stormwater  
5 Points - All other projects

10

- 2) If Issue 2/LTIP funds are granted, how soon after the Project Agreement is completed would a construction contract be awarded? (Even though the jurisdictions will be asked this question, the Support Staff will assign points based on engineering experience.)

10 Points - Will definitely be awarded in 1992  
5 Points - Some doubt whether it can be awarded in 1992  
0 Points - No way it can be awarded in 1992

15

- 3) What is the condition of the infrastructure to be replaced or repaired? For bridges, base condition on latest general appraisal and condition rating.

15 Points - Poor condition  
10 Points - Fair to Poor condition  
5 Points - Fair condition

NOTE: If infrastructure is in "good" or better condition, it will NOT be considered for Issue 2/LTIP funding, unless it is a betterment project that will improve serviceability.



- 1 4) If the project is built, what will be its effect on the facility's serviceability?

5 Points - Significantly effects serviceability (add lanes)  
4 Points -  
3 Points - Moderately effects serviceability (widen lanes)  
2 Points -  
1 Point - Have little or no effect on serviceability

- 3 5) Of the total infrastructure within the jurisdiction which is similar to the infrastructure of this project, what portion can be classified as being in poor or worse condition, and/or inadequate in service?

3 Points - 50% and over  
2 Points - 30% to 49.9%  
1 Point - 10% to 29.9%  
0 Points - Less than 10%

- 6 6) How important is the project to the health, welfare, and safety of the public and the citizens of the District and/or the service area?

10 Points - Significant importance  
8 Points -  
6 Points - Moderate importance  
4 Points -  
2 Points - Minimal importance

- 6 7) What is the overall economic health of the jurisdiction?

10 Points - Poor  
8 Points -  
6 Points - Fair  
4 Points -  
2 Points - Excellent

- 10 8) What matching funds are being committed to the project, expressed as a percentage of the TOTAL CONSTRUCTION COST? Matching funds may be local, Federal, ODOT, MRF, etc. or a combination of funds. Loan and credit enhancement projects automatically receive 10 points.

5 Points - More than 50%  
4 Points - 40% to 49.9%  
3 Points - 30% to 39.9%  
2 Points - 20% to 29.9%  
1 Point - 10% to 19.9%

**MINIMUM 10% MATCHING FUNDS REQUIRED FOR GRANT-FUNDED PROJECTS**

10

- 9) Has any formal action by a Federal, State, or local governmental agency resulted in a partial or complete ban of the usage or expansion of the usage for the involved infrastructure? Examples include weight limits on structures and moratoriums on building permits in a particular area due to local flooding downstream. Points can be awarded ONLY if construction of the project being rated will cause the ban to be removed.

10 Points - Complete ban  
5 Points - Partial ban  
0 Points - No ban

2

- 10) What is the total number of existing daily users that will benefit as a result of the proposed project? Appropriate criteria includes traffic counts & households served, when converted to a measurement of persons. Public transit users are permitted to be counted for roads and bridges, but only when certifiable ridership figures are provided.

10 Points - 10,000 and Over  
8 Points - 7,500 to 9,999  
6 Points - 5,000 to 7,499  
4 Points - 2,500 to 4,999  
2 Points - 2,499 and Under

1

- 11) Does the infrastructure have regional impact? Consider originations & destinations of traffic, size of service area, number of jurisdictions served, functional classification, etc.

5 Points - Major impact  
4 Points -  
3 Points - Moderate impact  
2 Points -  
1 Point - Minimal or no impact

TOTAL AVAILABLE POINTS:

PROJECTS FUNDED BY GRANTS = 93 POINTS

PROJECTS FUNDED BY LOANS OR CREDIT ENHANCEMENTS = 98 POINTS